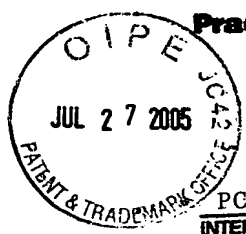


\$D



Practitioner's Docket No. LUC-015

**IN THE UNITED STATES ELECTED OFFICE (EO/US)**

PCT/GB03/005660      23 Dec 2003 (23.12.2003)      24 Dec 2002 (24.12.2002)  
INTERNATIONAL APPLICATION NO.      INTERNATIONAL FILING DATE      PRIORITY DATE CLAIMED  
Electroluminescent Materials and Devices  
TITLE  
Kathirgamanathan, Poopathy et al.  
APPLICANT(S)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COVER SHEET FOR ASSIGNMENT  
IN THE UNITED STATES ELECTED OFFICE (EO/US)  
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE  
IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371**

**IDENTIFICATION OF APPLICATION  
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))**

1. The patent application filed herewith, and to which the attached assignment (document) refers, is identified as follows:

- a. Date of execution: June 23, and 28, 2005
- b. Name of each inventor:
  - 1: Poopathy Kathirgamanathan
  - 2: Richard Price
  - 3: Subramaniam Ganeshamurugan
  - 4: Gnanamoly Paramaswara

c. Title of invention: Electroluminescent Materials and Devices

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)**

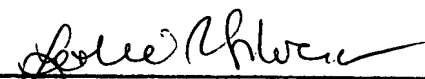
I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  
Date: July 25, 2005

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

  
\_\_\_\_\_  
Signature

Leslie R. Silverstein

(type or print name of person certifying)

NOTE: See 37 C.F.R. § 3.21.

**NAME OF PARTY(IES) CONVEYING INTEREST**  
(37 C.F.R. § 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: Poopathy Kathirgamanathan  
Name 2: Richard Price  
Name 3: Subramaniam Ganeshamurugan  
Name 4: Gnanamoly Paramaswara

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST**  
(37 C.F.R. § 3.31(a)(2))

3. The rights are being conveyed to:

Name: Elam-T Limited  
Address: Workzone Unit 2, 8 Kinetic Crescent  
Innova Park, Enfield EN3 7XH  
Great Britain

Telephone No.: (       )

**DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED**  
(37 C.F.R. § 3.31(a)(3))

4. The accompanying document intends to accomplish:

- ☒ an assignment.  
☐ a security agreement.  
☐ a license.  
☐ a merger.  
☐ a change of name.  
☐ a change of address.  
☐ other.

(Cover Sheet for Assignment in the United States Elected Office (EO/US) for International Application  
Entering National Stage under 35 U.S.C. § 371 [13-21]—page 2 of 4)

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE  
SHOULD BE MAILED (37 C.F.R. § 3.31(a)(5))**

**5. Please address correspondence to:**

Name: David Silverstein  
Address: Andover-IP-Law  
44 Park Street, Suite 300  
Andover, MA 01810  
Telephone No.: (978 ) 470-0990

**DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))**

**6. The attached assignment (document) was executed on** June 23 and 28, 2005 **(Date)**

**LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED**

**NOTE:** The Office will accept and record non-English language documents only if accompanied by a verified English translation signed by the individual making the translation. 37 C.F.R. § 3.26.

The attached document:

- ☒ is in the English language.  
☐ is not in the English language. A verified English translation signed by the individual making the translation is attached.

**ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED**

**NOTE:** "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 × 33.1 cm. (8½ × 14 inches) with a 2.5 cm. (one inch) margin on all sides." 37 C.F.R. § 3.24.

**7. Submitted herewith is:**

- ☐ the original document.  
☒ a true copy of the original document.

**NOTE:** "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET  
AND THE FEE (37 C.F.R. § 3.31(a)(6))**

8. A. This cover sheet identifies only one application.  
B. The fee for recordal (37 CFR 1.21(h)) is \$40.00.  
☒ Attached is a ☒ check ☐ money order in the amount of \$ 40.00  
☒ Authorization is hereby made to charge ~~XXXXXXXXXX~~ any fee deficiency  
☒ to Deposit Account No. 50-1139  
☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should *not* be included on this form as it may become public.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

**STATEMENT (37 C.F.R. § 3.31(a)(9)) AND SIGNATURE (37 C.F.R. § 3.31(a)(10))**

9. To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

**NOTE:** "The term 'party' as used in this rule [§ 3.31] means the person whose name appears on the documents to be recorded, that person's attorney or registered agent, or a corporate officer where a corporation's name appears on the document." Notice of June 24, 1992, 1140 O.G. 63-76, at 65.

Date: \_\_\_\_\_

\_\_\_\_\_  
Name of party submitting document

\_\_\_\_\_  
Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

  
\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

Reg. No.: 26,336

\_\_\_\_\_  
David Silverstein

\_\_\_\_\_  
(type or print name of practitioner)

Tel. No. (978) 470-0990

\_\_\_\_\_  
Andover-IP-Law

\_\_\_\_\_  
P.O. Address

Customer No.:

\_\_\_\_\_  
44 Park Street, Suite 300  
Andover, MA 01810

**TOTAL NUMBER OF PAGES BEING SUBMITTED**

10. The total number of pages being submitted, including cover sheet attachment(s), and documents are:

9

Total number of pages submitted

1 (Cover Sheet for Assignment in the United States Elected Office (EO/US) for International Application  
Entering National Stage under 35 U.S.C. § 371 [13-21]—page 4 of 4)

08/02/2005 GFREY1 00000083 10540732

02 FC:8021

40.00 DP

## A S S I G N M E N T

We, **Poopathy KATHIRGAMANATHAN** of The Little Lancaster House, 1 Lancaster Road, North Harrow HA2 7NN, Great Britain; **Richard PRICE** of Garden Flat, 5 Raleigh Road, London N8 0JB, Great Britain; **Subramaniam GANESHAMURUGAN** of 135 Antill Road, London N15 4BB, Great Britain; **Gnanamoly PARAMASWARA** of 19 Southway, Totteridge, London N20 8EB, Great Britain; having invented improvements in **Electroluminescent Materials and Devices**, and having filed an application for United States patent describing the same based thereon, as Serial No. 10 / 540,732, Filed June 24, 2005, for good and valuable consideration, receipt of which is hereby acknowledged from **Elam-T Limited**, a **Great Britain** corporation having its principal place of business in **Workzone Unit 2, 8 Kinetic Crescent, Innova Park, Enfield EN3 7XH, Great Britain** (and hereinafter called the Assignee which term shall include its successors and assigns), do hereby sell, assign and transfer unto the Assignee, our entire right, title, and interest, in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto, in and to and under said application (which term shall include hereinafter where the context so admits all divisional, continuing, reissue and other patent applications based thereon) and the inventions (which term shall include each and every such invention, or part thereof) therein described, and any and all patents and like rights of exclusion (including extensions thereof) of any country which may be granted on or for said inventions or on said application;

And for the same consideration we do also hereby sell, assign, and transfer unto the Assignee, all our rights under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, and all other treaties of like purpose in respect of said inventions and said application, and we do hereby authorize the Assignee to apply in our name or its own name or its designee for patents and like rights of exclusion on or for said inventions in all countries, claiming (if the Assignee so desires) the priority of the filing date of said application under the provisions of said Convention, Treaty or any such other Convention or Treaty;

And for the same consideration, we do hereby agree for ourselves and for our heirs, executors, and administrators, promptly upon request of the Assignee to execute and deliver without further compensation any power of attorney, assignment, original, divisional, continuing, reissue or other application or applications for patent or patents or like rights of exclusion of any country, or other lawful documents and any further assurances that may be deemed necessary or desirable by the Assignee, fully to secure to it said right, title, and interest as aforesaid in and to said inventions, applications, and said several patents and like rights of exclusion (including extensions thereof) or any of them, all, however, at the expense of the Assignee, its successors, or assigns;

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and the corresponding Official of each country foreign thereto to issue to the Assignee, any and all patents and like rights of exclusion which may be granted in any country upon said United States application on or for said inventions;

And we do hereby covenant for ourselves and our legal representatives and agree with the Assignee, that we have granted no right or license to make, use, or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title, and interest in and to said inventions has not been otherwise encumbered by us, and that we have not executed and will not execute any instruments in conflict herewith.

IN WITNESS WHEREOF, I hereunto set my hand and seal this 23<sup>rd</sup> day  
of June, 2005.

Poopathy Kathirgamanathan  
Poopathy KATHIRGAMANATHAN

IN WITNESS WHEREOF, I hereunto set my hand and seal this 23<sup>rd</sup> day  
of June, 2005.

Richard David Price  
**Richard PRICE**

IN WITNESS WHEREOF, I hereunto set my hand and seal this 23<sup>rd</sup> day  
of June, 2005.

Subramaniam Ganeshamurugan  
Subramaniam GANESHAMURUGAN



IN WITNESS WHEREOF, I hereunto set my hand and seal this 28 day  
of June, 2005.

Gnanamoly Parameswar  
Gnanamoly PARAMASWARA



Practitioner's Docket No. LUC-015

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Poopathy Kathirgamanathan et al. Group No.:  
Application No.: 10 /540,732  
Filed: June 24, 2005 Examiner:  
For: Electroluminescent Materials and Devices

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO  
IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION**

NOTE: This form is to be used when the declaration only indicates the name(s) of the inventor(s) and the title of the invention. Notice of September 12, 1983, 1035 O.G. 3.

NOTE: The following combinations of information supplied in an oath or declaration being filed after the filed date are acceptable as minimums for identifying a specification and compliance with any one of the items will be accepted as complying with the identification requirements of 37 C.F.R. § 1.63:

"(A) application number (consisting of the series code and the serial number, e.g., 08/123,456);

"(B) serial number and filing date;

"(C) attorney docket number which was on the specification as filed;

"(D) title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or

"(E) title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration.

M.P.E.P. § 601.01(a), 7th Ed.

I, David Silverstein

Name of Practitioner

Andover-IP-Law

P.O. Address

44 Park Street, Suite 300, Andover, MA 01810

Reg. No. 26,336

Tel. No. (978) 470-0990

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: July 25, 2005

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Leslie R. Silverstein

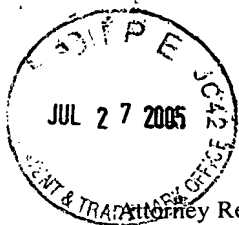
(type or print name of person certifying)

(Statement by Practitioner That Application Filed in PTO is the One Inventor Executed by Signing Declaration  
[5-10]—page 1 of 2)

state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith.

  
SIGNATURE OF PRACTITIONER

Customer No.:



Attorney Reference No.: LUC-015

1

### **Declaration, Petition and Power of Attorney for Patent Application**

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### **ELECTROLUMINESCENT MATERIALS AND DEVICES**

the specification of which (check only one):

\_\_\_\_\_ is attached hereto; or

X was filed as United States or PCT International Application Serial No. 10/540,732 on June 24, 2005, and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or (f), or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Prior foreign/PCT application(s) and priority claims under 35 U.S.C. §119(a)-(d) or §365

Country	Application Number	Filing Date (day, month, year)
Great Britain	02 300 76.2	24 December 2002

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Prior U.S. applications for benefit under 35 U.S.C. §119(e)

Application Number	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

Prior U.S. Applications or PCT International Applications  
designating the U.S. for benefit under 35 U.S.C. § 120

Application Number	Filing Date (day, month, year)	Status (issued, pending, abandoned)
PCT/GB2003/005660	23 December 2003	pending

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

David Silverstein Reg. No. 26,336

Send correspondence and direct telephone calls to:

David Silverstein  
Andover-IP-Law  
44 Park Street, Suite 300  
Andover, MA 01810  
phone: (978) 470-0990  
facsimile: (978) 470-0993

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## First Inventor

Family Name <b>Kathirgamanathan</b>	First Given Name <b>Poopathy</b>	Second Given Name
City of Residence <b>North Harrow</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>The Little Lancaster House 1 Lancaster Road</b>	City <b>North Harrow</b>	State & Zip Code/Country <b>North Harrow HA2 7NN Great Britain</b>
Signature <b>Poopathy Kathirgamanathan</b>		Date <b>23 June 2005</b>

## Second Inventor

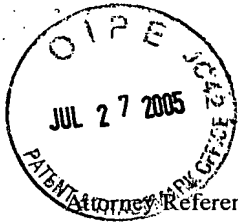
Family Name <b>Price</b>	First Given Name <b>Richard</b>	Second Given Name
City of Residence <b>London</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>Garden Flat 5 Raleigh Road</b>	City <b>London</b>	State & Zip Code/Country <b>London N8 0JB Great Britain</b>
Signature		Date

## Third Inventor

Family Name <b>Ganeshamurugan</b>	First Given Name <b>Subramaniam</b>	Second Given Name
City of Residence <b>London</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>135 Antill Road</b>	City <b>London</b>	State & Zip Code/Country <b>London N15 4BB Great Britain</b>
Signature <b>Subramaniam Ganeshamurugan</b>		Date <b>23 June 2005</b>

## Fourth Inventor

Family Name <b>Paramaswara</b>	First Given Name <b>Gnanamoly</b>	Second Given Name
City of Residence <b>London</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>19 Southway Totteridge</b>	City <b>London</b>	State & Zip Code/Country <b>London N20 8EB Great Britain</b>
Signature		Date



Reference No.: LUC-015

1

### **Declaration, Petition and Power of Attorney for Patent Application**

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### **ELECTROLUMINESCENT MATERIALS AND DEVICES**

the specification of which (check only one):

\_\_\_ is attached hereto; or

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Prior U.S. Applications or PCT International Applications  
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Andover, MA 01810  
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## First Inventor

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City of Residence <b>North Harrow</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>The Little Lancaster House 1 Lancaster Road</b>	City <b>North Harrow</b>	State & Zip Code/Country <b>North Harrow HA2 7NN Great Britain</b>
Signature		Date

## Second Inventor

Family Name <b>Price</b>	First Given Name <b>Richard</b>	Second Given Name
City of Residence <b>London</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>Garden Flat 5 Raleigh Road</b>	City <b>London</b>	State & Zip Code/Country <b>London N8 0JB Great Britain</b>
Signature <b>Richard David Price</b>		Date <b>23.6.05</b>

## Third Inventor

Family Name <b>Ganeshamurugan</b>	First Given Name <b>Subramaniam</b>	Second Given Name
City of Residence <b>London</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>135 Antill Road</b>	City <b>London</b>	State & Zip Code/Country <b>London N15 4BB Great Britain</b>
Signature		Date

## Fourth Inventor

Family Name <b>Paramaswara</b>	First Given Name <b>Gnanamoly</b>	Second Given Name
City of Residence <b>London</b>	State or Foreign Country of Residence <b>Great Britain</b>	Country of Citizenship <b>Great Britain</b>
Post Office Address <b>19 Southway Totteridge</b>	City <b>London</b>	State & Zip Code/Country <b>London N20 8EB Great Britain</b>
Signature		Date



Attorney Reference No.: LUC-015

1

**Declaration, Petition and Power of Attorney for Patent Application**

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**ELECTROLUMINESCENT MATERIALS AND DEVICES**

the specification of which (check only one):

\_\_\_\_\_ is attached hereto; or

X was filed as United States or PCT International Application Serial No. 10/540,732 on June 24, 2005, and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

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I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or (f), or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

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I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

Prior U.S. applications for benefit under 35 U.S.C. § 119(e)

Application Number	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

Prior U.S. Applications or PCT International Applications  
designating the U.S. for benefit under 35 U.S.C. § 120

Application Number	Filing Date (day, month, year)	Status (issued, pending, abandoned)
PCT/GB2003/005660	23 December 2003	pending

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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